IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Michael F. Braitberg, Ian R. Redmond, Gregory D. Volan, Steven B.

Volk

Assignee:

SpinVision, Inc.

MAR 1 4 2002 Title:

First-Surface Optical Data Storage Disk Containing Phase-Change

Recording Layer And Housed In Cartridge (as amended)

Serial No.:

09/753,109

Filing Date:

December 29, 2000

Examiner:

David D. Davis

Group Art Unit:

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San Jose, California March 14, 2002

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COMMISSIONER FOR PATENTS Washington, D.C. 20231

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c) WITH CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicant(s) wish to call the following document (copy enclosed) to the attention of the Examiner for the above-identified patent application. The document was first cited by International Preliminary Examining Authority the corresponding foreign Application No. PCT/US00/13736, in the International Preliminary Examination Report.

A form PTO-1449 listing this documents is enclosed.

Citation of the above document shall not be construed as:

- 1. an admission that the document is necessarily prior art with respect to the instant invention;
 - 2. a representation that a search has been made, other than as described above; or

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Serial No. 09/753,109

3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The undersigned hereby certifies in accordance with § 1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

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Respectfully submitted,

Alan H. MacPherson Attorney for Applicants

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